

Iowa Northland

Regional Transportation Authority

FY23

Transportation Planning Work Program

May 19, 2022

CONTENTS

Introduction	1
Overview	1
RTA Organization	1
RTA Goals	7
Transportation Planning Process	7
Transportation Planning Issues	8
Planning Emphasis Areas	8
Planning Activities	9
TPWP Development	10
Process	10
Public Involvement	10
Revisions	11
FY 2023 Work Elements	12
Element A: Transportation Planning Work Program (TPWP)	12
Element B: Transportation Improvement Program (TIP)	13
Element C: Public Participation Process	14
Element D: Long-Range Transportation Planning	15
Task D-1: Long-Range Transportation Plan	15
Task D-2: Statewide Planning Initiatives	15
Task D-3: Transportation Related Studies	16
Task D-4: Coordinated Public Transit & Human Services Planning	16
Element E: Short-Range Planning	17
Task E-1: Administration of Overall RTA Activities	17
Task E-2: Data Collection & System Inventory	18
Task E-3: Project Development	18
Task E-4: Equipment & Supplies	19
Element F: Administration	19
Schedule of Activities	20
FY 2023 Budget & Funding Sources	22
INRCOG Cost Allocation Plan	23
Appendix A – Resolution	29
Appendix B – RTA Self-Certification	30
Appendix C – SRTS Proposed Scope of Work	31
Document Revision Summary	33

The Iowa Northland Regional Transportation Authority (RTA) prepared this document with funding from the U.S. Department of Transportation’s Federal Highway Administration and Federal Transit Administration, and in part through local matching funds of the RTA member governments. These contents are the responsibility of the RTA. The U.S. government and its agencies assume no liability for the contents of this report or for the use of its contents.

INTRODUCTION

OVERVIEW

The Fiscal Year (FY) 2023 Transportation Planning Work Program (TPWP) is the work plan for the fiscal year beginning July 1, 2022 and ending June 30, 2023. The TPWP documents the transportation-related activities and projects that are anticipated to occur in the Iowa Northland Regional Transportation Authority (RTA) by major activity and task. Within each work element, specific tasks are identified by the objective, previous work performed, a description of the task, and the desired outcome or products.

While federal law does not mandate specific transportation planning funding or requirements for non-metropolitan areas, the State of Iowa has developed a system of Regional Planning Affiliations (RPAs) to carry out this planning on a regional level. Iowa has 18 RPAs that cover all the area outside of Iowa's nine Metropolitan Planning Organizations (MPOs). The Iowa Department of Transportation (DOT) provides funding through Federal Highway Administration (FHWA) and Federal Transit Administration (FTA) sources to the RPAs to finance planning and to program for projects. In return, the RPAs conduct regional planning activities that mirror those required of MPOs. This includes completing several planning documents and conducting a continuing, cooperative, and comprehensive (3-C) transportation planning process.

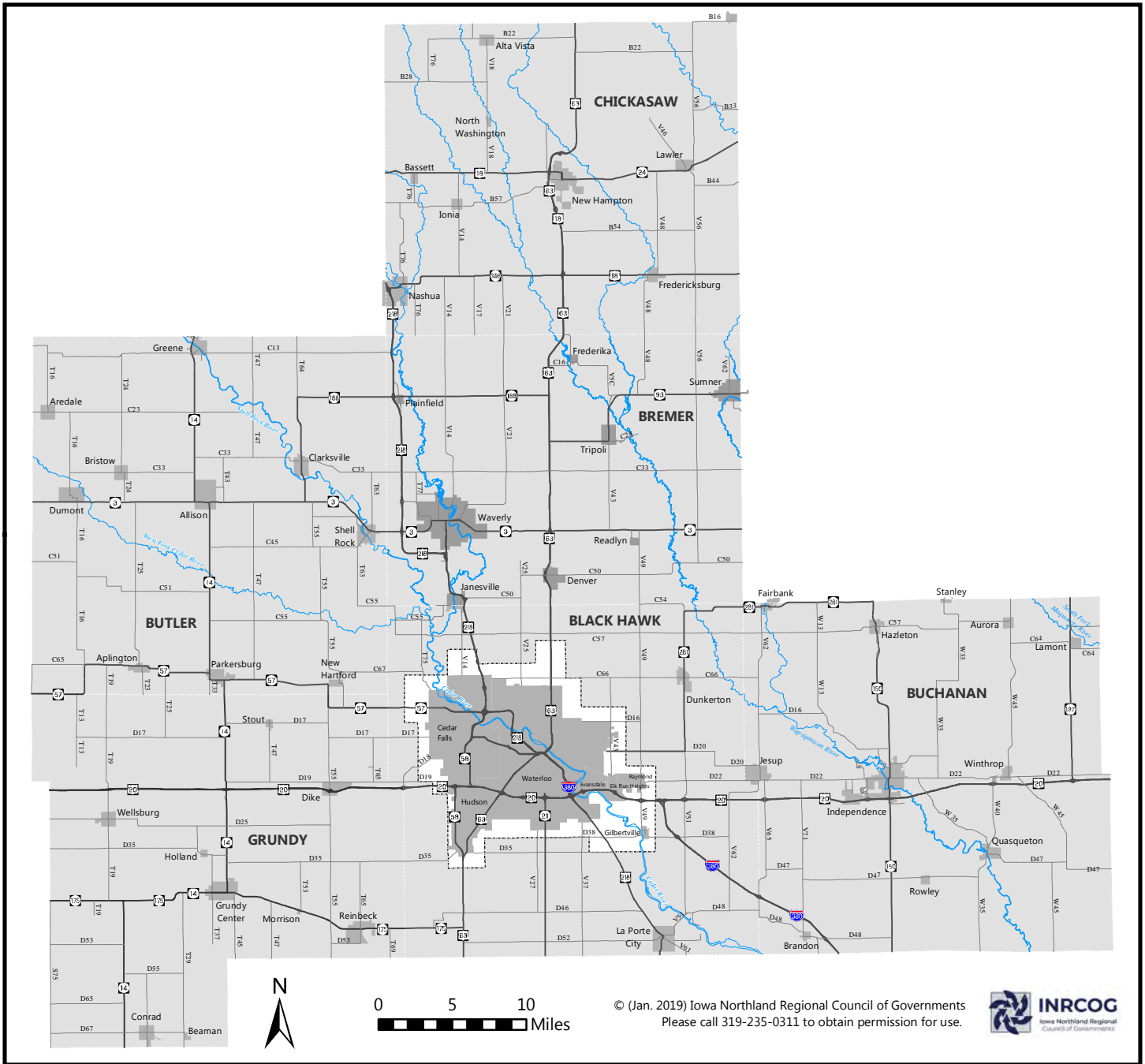
The Iowa Northland Regional Transportation Authority was established in 1993 to conduct transportation planning and programming for Black Hawk, Bremer, Buchanan, Butler, Chickasaw, and Grundy Counties (Map 1). The RTA was established under the umbrella of the Iowa Northland Regional Council of Governments (INRCOG) which has been a regional planning agency serving those same counties since 1973. INRCOG also serves as the umbrella organization for the Black Hawk County Metropolitan Area Transportation Policy Board which is the MPO for the Waterloo and Cedar Falls metropolitan area.

RTA ORGANIZATION





While INRCOG provides staff and technical support, the decision-making and programming authority of the RTA lies within its two main branches, the Policy Board and Technical Committee. The Policy Board consists of local elected officials and is the governing body of the RTA. The Policy Board has the power to make policy decisions and conduct comprehensive transportation studies and plans. Voting Policy Board members include a member of the Board of Supervisors, or other elected official designee, for Black Hawk, Bremer, Buchanan, Butler, Chickasaw, and Grundy Counties, and a mayor, council member, or other elected official designee from two cities in each county as determined by a convention of cities in that county. In lieu of a convention, two cities may be selected by the County Board of Supervisors. To include the region's small urban areas, one city representative from Bremer County represents the City of Waverly, and one city representative from Buchanan County represents the City of Independence. Non-voting members of the Policy Board include representatives from INRCOG, the Iowa DOT, FHWA, and FTA.

The Technical Committee consists of local planners, engineers, and interested parties. The Technical Committee has extensive knowledge of the area's transportation system and advises the Policy Board but does not vote on policy issues. The Policy Board and Technical Committee generally meet jointly monthly. A subcommittee of the Technical Committee is the Transportation Alternatives Program (TAP) Committee which generally meets annually to discuss, rank, and program transportation alternatives projects.

Another standing committee utilized in the transportation planning process is the Transit Advisory Committee (TAC). This group meets at least twice annually to discuss passenger transportation and human service agency coordination, and to develop the Passenger Transportation Plan (PTP). The RTA also utilizes focus groups as needed.



Map 1
Iowa Northland Region

-  Metropolitan Planning Organization Study Area
-  City Boundary
-  Highways
-  County Roads

Policy Board

Representing	Name	Title
Black Hawk County	Linda Laylin	Supervisor
Bremer County	Duane Hildebrandt	Supervisor (Vice-Chair)
Buchanan County	Gary Gissel	Supervisor
Butler County	Greg Barnett	Supervisor (Chair)
Chickasaw County	Matt Kuhn	Supervisor
Grundy County	Mark Schildroth	Supervisor
City of Denver	Rod Diercks	Mayor
City of Dike	Mike Soppe	Mayor
City of Fairbank	Mike Harter	Mayor
City of Grundy Center	Paul Eberline	Mayor
City of Independence	John Kurtz	Mayor Pro Tem
City of Janesville	Dave Beenblossom	Mayor
City of La Porte City	Jasmine Gaston	Mayor
City of New Hampton	Bobby Schwickerath	Mayor
City of Parkersburg	Mike Timmer	Mayor
City of Shell Rock	Larry Young	Mayor
City of Waverly	Adam Hoffman	Mayor
INRCOG (non-voting)	Carter Baldwin	Director of Transportation
Iowa DOT (non-voting)	Zac Bitting	Statewide Planning Coordinator
FHWA Iowa Division (non-voting)	Darla Hugaboom	Transportation Planner
FTA Region 7 (non-voting)	Daniel Nguyen	Community Planner

Technical Committee

Representing	Name	Title
Black Hawk County	Ryan Brennan	Assistant County Engineer
Black Hawk County	Cathy Nicholas	County Engineer
Bremer County	Landon Moore	County Engineer
Buchanan County	Brian Keierleber	County Engineer
Butler County	John Riherd	County Engineer
Chickasaw County	Roman Lensing	Interim County Engineer
Grundy County	Gary Mauer	County Engineer
City of Denver	Larry Farley	City Administrator
City of Dunkerton	Daniel Loftus	Public Works Director
City of Grundy Center	Dan Bangasser	Public Works Director
City of Independence	Al Roder	City Manager
City of La Porte City	Jane Whittlesey	City Clerk
City of Nashua	John Ott	City Clerk
City of Parkersburg	Chris Luhring	City Clerk
City of Shell Rock	Mike Tellinghuisen	Public Works Director
City of Waverly	Mike Cherry	City Engineer
Iowa DOT, District 2	Krista Billhorn	Transportation Planner
INRCOG	Carter Baldwin	Director of Transportation
INRCOG	Kyle Durant	Transportation Planner
INRCOG	Aldina Dautović	Transportation Planner
INRCOG	Brenda Vavroch	Safe Routes to School Coordinator

Transportation Alternatives Program Committee

Representing	Name	Title
Black Hawk County	Ryan Brennan	Assistant County Engineer
Black Hawk County	Cathy Nicholas	County Engineer
Bremer County	Landon Moore	County Engineer
Buchanan County	Brian Keierleber	County Engineer
Butler County	John Riherd	County Engineer
Chickasaw County	Roman Lensing	Interim County Engineer
Grundy County	Gary Mauer	County Engineer
Black Hawk County Conservation	Mike Hendrickson	Executive Director
Black Hawk County Conservation	Cherrie Northrup	Planning Administrator
Bremer County Conservation	Andrew Hockenson	Executive Director
Buchanan County Conservation	Dan Cohen	Executive Director
Butler County Conservation	Mike Miner	Director
Chickasaw County Conservation	Chad Humpal	Director
Grundy County Conservation	Nick Buseman	Executive Director
City of Allison	Glenda Miller	City Clerk
City of Fairbank	Brittany Fuller	City Clerk
City of Grundy Center	Kristy Sawyer	City Clerk
City of La Porte City	Jane Whittlesey	City Clerk
City of New Hampton	Karen Clemens	City Clerk
City of Parkersburg	Chris Luhring	City Clerk
City of Reinbeck	Julie Wilkerson	City Clerk
City of Sumner	Lisa Oberbroeckling	City Clerk
City of Waverly	Garret Riordan	Leisure Services Director
Butler/Grundy County Development	Jeff Kolb	Executive Director
New Hampton Economic Development	Megan Baltes	Assistant Director
INRCOG	Carter Baldwin	Director of Transportation
INRCOG	Kyle Durant	Transportation Planner
INRCOG	Aldina Dautović	Transportation Planner
INRCOG	Brenda Vavroch	Safe Routes to School Coordinator

Transit Advisory Committee

Representing	Name
American Cancer Society	Kelly Angell
Black Hawk County Emergency Management	Mindy Benson
Black Hawk County Health Department	Nafissa Cisse
Black Hawk County Health Department	Lisa Sesterhenn
Butler County Public Health	Jennifer Becker
Bremer County CPC	Jan Heidemann
Cedar Valley United Way	Sheila Baird
Chickasaw County CPC	Sheila Kobliska
City of Cedar Falls	Rob Green
City of Cedar Falls	Thomas Weintraut
City of Waterloo	Aric Schroeder
Community Foundation of Northeast Iowa	Kaye Englin
Comprehensive Systems Inc.	Kerri White
Country View	Mike Regan
Grow Cedar Valley	Danny Laudick
Grundy County CPC	Todd Rickert
House of Hope	Dusky Steele
House of Hope	Susan Backes
Iowa DOT, District 2	Krista Billhorn
Iowa DOT	Emma Borchers
IowaWORKS Cedar Valley	Debra Hodges-Harmon
IowaWORKS Cedar Valley	Kyle Clabby-Kane
IowaWORKS Cedar Valley	Megan Jensen
League of Women Voters of Black Hawk-Bremer Counties	Cherie Dargan
MET Transit	David Sturch
MET Transit Board	Lon Kammeyer
MET Transit Board	Rosalyn Middleton
My Riders Club	Martin Wissenberg
Northeast Iowa Area Agency on Aging	Janna Diehl
North Star Community Services	Valeri Schwager
The Arc of the Cedar Valley	Becky Schmitz
The Larabee Center	John Lord
UnityPoint Health	Rick Newlon
University of Northern Iowa	Jose Luis San Miguel
University of Northern Iowa	James Hoelscher
West Village Center	Amber Hunt
Women's Center for Change	Amy Landers
INRCOG	Carter Baldwin
INRCOG	Kyle Durant
INRCOG	Aldina Dautović
INRCOG	Brenda Vavroch

Media Contacts

Bremer County Independent	KNWS
Butler County Tribune Journal	KQMG Radio Station
Citizen Herald	KUNI-KHKE
Cumulus Communications	KWLO-KFMW-KXEL-KOKZ
Denver Forum	KWWL-TV
Fredericksburg Review	Nashua Reporter
Greene Recorder	Parkersburg Eclipse News-Review
Grundy Register	Reinbeck Courier
Hudson Herald	Sumner Gazette
Independence Newspapers	The New Hampton Tribune
KBBG	The Progress Review
KCRG TV9	Tripoli Leader
KCVM	Waterloo/Cedar Falls Courier
KGAN-TV	Waverly Democrat
KHAK	

RTA GOALS

The FY 2023 TPWP outlines transportation planning activities to address the four goals of the 2045 Long-Range Transportation Plan which are to:

- Increase the safety of the transportation system.
- Strategically preserve the existing infrastructure.
- Support an efficient transportation system.
- Provide a high degree of multimodal accessibility and mobility.

The RTA has adopted several objectives to help achieve these goals and performance measurements to track the progress toward meeting the objectives. Performance measurements are not federally required for Regional Planning Affiliations (only MPOs). However, the RTA felt it was important to identify performance measurements specific to the region to help inform future regional planning efforts and implement the state transportation plan. RTA goals, objectives, and performance measures can be found in **Table 1.1** of the **RTA 2045 Long-Range Transportation Plan**.

http://inrcog.org/pdf/RTA_LRTP_2045_Chapter_1_Overview.pdf

The TPWP also contains activities to assist in implementing provisions contained in surface transportation legislation. Planning factors identified in federal transportation legislation, Fixing America's Surface Transportation (FAST) Act, to be considered in the transportation planning process for the RTA include:

- Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency
- Increase the safety of the transportation system for motorized and non-motorized users
- Increase the security of the transportation system for motorized and non-motorized users
- Increase the accessibility and mobility of people and for freight
- Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and State and local planned growth and economic development patterns
- Enhance the integration and connectivity of the transportation system, across and between modes, for people and freight
- Promote efficient system management and operation
- Emphasize the preservation of the existing transportation system
- Improve the resiliency and reliability of the transportation system and reduce or mitigate stormwater impacts on surface transportation
- Enhance travel and tourism

TRANSPORTATION PLANNING PROCESS

The RTA is responsible for completing the following transportation planning documents:

- Transportation Planning Work Program (TPWP) – Outlines the transportation planning activities RTA staff plan to conduct in the next fiscal year and sources of funding; updated annually.
- Transportation Improvement Program (TIP) – Includes all projects programmed for federal transportation funding in the RTA in the next four fiscal years; updated annually.
- Long-Range Transportation Plan (LRTP) – Reviews the current condition and future needs of the transportation system and provides guidance for transportation investment decisions; updated every five years.
- Passenger Transportation Plan (PTP) – Provides coordination between passenger transportation providers and human service agencies and recommends projects to improve passenger transportation; full document updated every five years; joint document with the Black Hawk County MPO.
- Public Participation Plan (PPP) – Details the process the RTA will follow to involve the public in the transportation planning and programming process; joint document with OnBoard Public Transit and the Black Hawk County MPO; updated as needed.

TRANSPORTATION PLANNING ISSUES

There are a wide variety of issues facing the region. Roads and bridges are deteriorating at a higher rate due to increased volumes of freight and heavy farm equipment; a significant number of bridges in the region require repair or replacement in the near future; the increasing age of the population presents roadway design challenges and the need for additional transit service; improved multimodal facilities are needed to enhance the flow of freight between modes; additional on-road bicycle facilities, trails, and sidewalks are needed to improve the walking and bicycling environment for recreation, commuting, and safe routes to schools and businesses; and the needs of the transportation and transit system surpass the resources and funding available to address them

The Iowa Northland Regional Transportation Authority is committed to improving opportunities for walking, biking, and recreation. The region has an extensive recreational trail system which can provide non-motorized connections and exceptional recreational opportunities. However, due to the costs associated with constructing and maintaining off-road recreational trails, they cannot reasonably connect to every destination in the region. The Iowa Northland Region has developed a Regional Bicycle Accommodation Plan that incorporates off-road trails and on-road routes. The RTA will continue to program Iowa's Transportation Alternatives Program (TAP) funds towards non-motorized improvements, and provide planning assistance to communities to identify and plan for on- and off-road accommodations. The RTA will also continue implementation of a Safe Routes to School (SRTS) program in public and parochial schools throughout the region to increase bicycling and walking to school.

OnBoard Public Transit (formerly the Iowa Northland Regional Transit Commission) provides transit services in the region. Expanded service throughout the region is always considered a need. OnBoard Public Transit and RTA staff will continue to identify ways to improve services. Bus replacement is also an ongoing concern. OnBoard Public Transit's bus fleet was upgraded through the American Recovery and Reinvestment Act of 2009. However, these vehicles now face repair and replacement concurrently.

Other areas and of keen interest include the U.S. 218 corridor and the Iowa Highway 150 corridor. The RTA continues to focus on connectivity of and accessibility to the highway system, improved roadway safety, and long-term maintenance of the roadway and bridge network to ensure the economic viability of the region.

It is impossible to know for sure that will happen regarding transportation in the future. Regardless of whether the current trends in gas prices, vehicle miles traveled, legislation, weather, and vehicle technology are short- or long-term, personal vehicles will likely remain the dominant form of transportation in the region. Likewise, planning for maintenance of the existing road and bridge network will likely remain the foremost transportation concern for local officials. Maintaining and improving that system, along with other transportation modes, will be critical to ensure a positive future for the Iowa Northland Region.

PLANNING EMPHASIS AREAS

On December 30, 2021, the FHWA and FTA jointly issued updated Planning Emphasis Areas (PEAs). PEAs are intended to be used by metropolitan planning organizations, state departments of transportation, transit agencies, and federal land management agencies in their [Unified] Transportation Planning Work Programs and the Statewide Planning and Research Programs. The 2021 Planning Emphasis Areas include the following:

- A. Tackling the Climate Crisis – Transition to a Clean Energy, Resilient Future
- B. Equity and Justice⁴⁰ in Transportation Planning
- C. Complete Streets
- D. Public Involvement
- E. Strategic Highway Network (STRAHNET)/U.S. Department of Defense (DOD) Coordination
- F. Federal Land Management Agency (FLMA) Coordination
- G. Planning and Environment Linkages (PEL)
- H. Data in Transportation Planning

Additional Information on Planning Emphasis Areas can be found using the link below.

<https://www.transit.dot.gov/regulations-and-programs/transportation-planning/2021-planning-emphasis-areas>

PLANNING ACTIVITIES

This section details the planning activities that the Iowa Northland Regional Transportation Authority will be involved in throughout FY 2023. There are six main work tasks, each of which corresponds to the goals of the 2045 Long-Range Transportation Plan, one of the ten federal planning factors, or a Regional Planning Affiliation (RPA) requirement.

		Element A: TPWP	Element B: TIP	Element C: PPP	Element D: LRTP	Element E: SRTP	Element F: Admin
2045 LRTP Goals	Increase the safety of the transportation system	X	X	X	X	X	
	Strategically preserve the existing infrastructure	X	X		X	X	
	Support an efficient transportation system	X	X		X	X	
	Provide a high degree of multimodal accessibility and mobility	X	X	X	X	X	
Federal Planning Factors	Support economic vitality	X	X		X	X	
	Increase the safety of the transportation system	X	X		X	X	
	Increase the security of the transportation system	X	X			X	
	Increase the accessibility and mobility of people and for freight	X	X	X	X	X	
	Protect and enhance the environment and promote conservation	X	X	X		X	
	Enhance the integration and connectivity of the system across and between modes	X	X		X		
	Promote efficient system management and operations	X	X		X	X	
	Emphasize the preservation of the existing transportation system	X	X		X		
	Improve resiliency and reliability and reduce/mitigate stormwater impacts	X	X			X	
	Enhance travel and tourism	X	X			X	
RPA Requirements	Transportation Planning Work Program	X					
	Long Range Transportation Plan				X		
	Transportation Improvement Program		X				
	Public Participation Plan			X			
	Passenger Transportation Plan					X	
	Award Federal Funding		X				
	Decision Making Structure						X
Planning Emphasis Areas (PEAs)	Tackling the Climate Crisis				X		
	Equity and Justice40		X		X	X	
	Complete Streets		X		X	X	
	Public Involvement	X	X	X	X	X	
	STRAHNET/U.S. DOD Coordination						
	FLMA Coordination						
	Planning and Environment Linkages						
	Data in Transportation Planning		X	X	X	X	

TPWP DEVELOPMENT

PROCESS

The Iowa Northland Regional Transportation Authority begins development of the TPWP in January of each year with internal staff discussions. These initial discussions include a review of required activities and goals of the Long-Range Transportation Plan. Proposals from RTA staff and member communities regarding priority activities for the coming year are also discussed. The draft TPWP is then presented to the RTA Policy Board and Technical Committee at the March meeting for review and discussion. Proposals for additional activities are also requested from the Policy Board and Technical Committee, and the public at the meeting.

Following review and comments, the draft TPWP is forwarded to the Iowa DOT, FTA, and FHWA for review and comment. INRCOG staff make any changes necessary to the document and budget, and the final document is presented to the Policy Board and Technical Committee for review and approval at the May meeting. The approved TPWP is then provided to the Iowa DOT, FTA, and FHWA for their records.

The following milestones describe the process in which the Transportation Planning Work Program was developed:

- March 17, 2022: The draft TPWP was presented to the RTA Policy Board for review and comment.
- March 17 to May 19, 2022: A public comment period was open for the draft TPWP. The draft document was made available on the INRCOG website and at the INRCOG Center. Comments were accepted via letter, email, phone, or in person.
- April 2022: The draft TPWP was submitted to the Iowa Department of Transportation, Federal Highway Administration, and Federal Transit Administration for compliance review and comments.
- May 19, 2022: The RTA Policy Board considered a summary of comments and appropriate responses and adopted the final TPWP.

PUBLIC INVOLVEMENT

The public involvement process utilized for the development of this TPWP was guided by the 2017 Public Participation Plan (PPP). The PPP sets minimum requirements for public involvement opportunities. Public involvement actions required include the following:

- Draft TPWP
 - The draft TPWP will be prepared by INRCOG staff with input from jurisdiction representatives and the Iowa DOT, and oversight by the RTA Policy Board and Technical Committee.
 - The draft document will be available for public review on the INRCOG website, at the INRCOG Center, and upon request.
- Public Comment Period
 - The public may comment on the draft TPWP during at least a 15-day comment period following completion of the draft TPWP and at a regularly scheduled RTA meeting where adoption of the TPWP will be considered. Comments will be accepted via letter, email, phone, or in person.
 - Notices and agendas of RTA meetings will be available through local media, at the INRCOG Center, and on the INRCOG website.
- Final TPWP
 - The RTA Policy Board will consider a summary of comments and appropriate responses and adopt the final TPWP.
 - The final TPWP will be submitted to the Iowa DOT, FHWA, and FTA and made available on the INRCOG website, at the INRCOG Center, and upon request.

- Revisions
 - Revisions to the TPWP will be made as needed.
 - Amendments will require a public hearing to be held at a regularly scheduled RTA meeting. A notice of the public hearing will be published no more than twenty days and no less than four days before the date of the hearing.

REVISIONS

The Transportation Planning Work Program is developed annually. However, the document can be amended at any time. When doing so, the Iowa Northland Regional Transportation Authority will consider the administrative rules outlined by 2 CFR 200 and FTA Circular 5010.1C. The following table outlines the approving agency for various changes to work programs:

Revision Type	Approving Agency
Request for additional Federal funding	Federal
Transfer of funds between categories, projects, functions, or activities which exceeds ten percent of the total work program budget when the Federal share of the budget exceeds \$150,000	Federal
Revision to the scope or objectives of activities	Federal
Transferring substantive programmatic work to a third party (consultant)	Federal
Capital expenditures, including the purchasing of equipment	Federal
Transfer of funds allotted for training allowances	Federal
Transfer of funds between categories, projects, functions, or activities which do not exceed ten percent of the total work program budget, or when the Federal share of the budget is less than \$150,000	Iowa DOT Systems Planning Bureau
Revisions related to work that does not involve Federal funding	RTA Policy Board

All necessary TPWP approvals are required to be in place prior to the commencement of activity, purchasing of equipment, or request for reimbursement. As it relates to procurement of equipment and services, there should be no notification of award, signed contract, placement of an order, or agreement with a contractor prior to receiving the necessary approval.

All revision requests should be submitted electronically to the Iowa DOT Systems Planning Bureau and the agency's District Planner. Documentation for the revisions shall include the following:

- A resolution or meeting minutes showing the revision's approval
- Budget summary table with changes highlighted or noted
- Modified section(s) of the plan's work elements with changes highlighted or noted

If all necessary information is provided, the request will then be forwarded to the FHWA and FTA for review and any necessary approvals. Notification by the approving agency will be in writing.

Federal Revision Approval

Revisions where FHWA/FTA is the designated approving agency shall require written approval by FHWA/FTA prior to commencement of activity, purchasing of equipment, or request for reimbursement.

Iowa DOT Systems Planning Bureau Revision Approval

Revisions where the Iowa DOT Systems Planning Bureau is the designated approving agency shall require written approval by the Iowa DOT Systems Planning Bureau prior to commencement of activity or request for reimbursement.

RTA Revision Approval

Revisions where the Iowa Northland Regional Transportation Authority is the approving agency shall be approved by the Policy Board.

FY 2023 WORK ELEMENTS

ELEMENT A: TRANSPORTATION PLANNING WORK PROGRAM (TPWP)

Objective

To prepare the FY 2024 TPWP.

Previous Work

- Adoption and administration of the FY 2022 TPWP
- Prepared draft and final versions of the FY 2023 TPWP in accordance with the RTA Public Participation Plan, INRCOG Title VI Plan, and subject to comments from Iowa DOT, FHWA, and FTA staff

Project Description

Identify tasks to be performed in FY 2024 and prepare FY 2023 quarterly reports.

FY 2023 Activities and Products

- FY 2023 TPWP maintenance and budget monitoring
- Reimbursement submittals
- Draft FY 2024 TPWP submitted by April 1, 2023
- Final FY 2024 TPWP submitted by June 1, 2023
- RTA Self Certification

Personnel, Budget, and Funding

	Staff Hours	Cost (\$)
Executive Director	2	
Director of Transportation	12	
Personnel Total		950
Direct Expenses		50
Total	14	1,000

Funding Type	Amount (\$)
Regional STBG	267
FTA 5305e	267
FHWA SPR	266
INRCOG Match	200
Total	1,000

ELEMENT B: TRANSPORTATION IMPROVEMENT PROGRAM (TIP)

Objective

To develop and maintain a TIP that is consistent with the goals, objectives, and performance measures established by the RTA Policy Board, and serves as an implementation tool of the 2045 Long-Range Transportation Plan.

Previous Work

- Prepared the final FY 2022-2025 TIP
- Processed revisions to the FY 2022-2025 TIP
- Developed a draft FY 2023-2026 TIP
- Held work sessions with the TAP Committee and Technical Committee to develop the draft FY 2023-2026 TIP
- Held two public input sessions relating to the draft TIP

Project Description

The RTA is responsible for developing the funding program of transportation projects which use Federal funds or are regionally significant. The RTA carries out a process for soliciting regional projects for the Surface Transportation Block Grant (STBG) Program and Iowa's Transportation Alternatives Program (TAP). Projects eligible for Federal-aid Swap will follow the Iowa DOT's process. The RTA also reviews any regional projects seeking Iowa Clean Air Attainment Program (ICAAP) funds. The TIP includes four years of programming based on funding estimates provided by the Iowa DOT. Programming will include all pertinent modes of transportation, with emphasis placed on STBG, Iowa's TAP, 5311, and 5339 funds. The TIP will be fiscally constrained utilizing year of expenditure dollars. The document will include operations and maintenance information, and a status report on projects in the first year of the current program.

The TIP is developed in coordination with local governments, the State of Iowa, and public transit providers. Submittal of projects and revisions will be conducted through TPMS. To ensure a well-balanced public participation process, all work associated with the TIP will be performed in accordance with the RTA Public Participation Plan and INRCOG Title VI Plan.

FY 2023 Activities and Products

- FY 2022-2025 TIP
 - Maintain program through formal amendments and administrative modifications (as required)
- FY 2023-2026 TIP
 - Final program adopted
 - Maintain program through formal amendments and administrative modifications (as required)
- FY 2024-2027 TIP
 - Resolution(s) supporting regional Iowa Clean Air Attainment Program (ICAAP) grant applications(s)
 - Solicit, rank, and program local Iowa's TAP projects for funding
 - Solicit and program STBG projects for funding
 - TAP and Technical Committee work sessions to develop draft program
 - Draft FY 2024-2027 TIP submitted by June 15, 2023
 - Public input sessions

Personnel, Budget, and Funding

	Staff Hours	Cost (\$)
Director of Transportation	9	
Transportation Planner II	70	
Transportation Planner I	33	
Personnel Total		4,750
Direct Expenses		250
Total	112	5,000

Funding Type	Amount (\$)
Regional STBG	1,333
FTA 5305e	1,333
FHWA SPR	1,334
INRCOG Match	1,000
Total	5,000

ELEMENT C: PUBLIC PARTICIPATION PROCESS

Objective

To incorporate a public involvement process that fosters public participation throughout the transportation planning, programming, and decision-making process.

Previous Work

- Held a public hearing related to the FY 2023 TPWP
- Held a public hearing and public input sessions relating to the development of the FY 2022-2025 TIP
- Participated in public meetings relating to various transportation studies and corridors in the region
- Notices of opportunities for public input shared via MailChimp marketing program, and local media
- Publication of transportation articles in the INRCOG monthly e-newsletter
- Information, transportation plans, and notices of opportunities for public input shared on INRCOG's website and Facebook page

Project Description

This task includes conducting public input sessions, public hearings, and public outreach to obtain public input and feedback on ongoing activities and plans. The Public Participation Plan, along with other pertinent documents maintained and developed by the RTA, are posted online at www.inrcog.org. The Public Participation Plan, which was adopted in September 2017 and updated June 2020, will be evaluated for modifications to evolve with communication preferences as warranted.

FY 2023 Activities and Products

- Public hearing for the FY 2024 TPWP
- Public hearing for the FY 2023-2026 TIP
- Public hearings for amendments to the FY 2023-2026 TIP (as needed)
- Materials and staffing for at least two public input sessions for the draft FY 2024-2027 TIP in June 2023
- Participation in meetings and committees related to transportation planning
- Marketing and outreach of RTA planning activities
 - Promote the RTA for public recognition
 - Content for the INRCOG website and Facebook page
 - Content for the INRCOG monthly e-newsletter
 - Advertised meetings, events, and notices of opportunities for public input in various outlets
 - Monitored outreach activities in accordance with the Public Participation Plan
- Maintain and update the PPP (as needed)

Personnel, Budget, and Funding

Transportation Planner II	24	
Transportation Planner I	28	
Personnel Total		1,950
Direct Expenses		50
Total	52	2,000

Regional STBG	533
FTA 5305e	533
FHWA SPR	534
INRCOG Match	400
Total	2,000

ELEMENT D: LONG-RANGE TRANSPORTATION PLANNING

TASK D-1: LONG-RANGE TRANSPORTATION PLAN

Objective

To maintain a Long-Range Transportation Plan (LRTP), provide planning and GIS services relating to regional long-range transportation initiatives, and develop ancillary long-range transportation planning documents as needed.

Previous Work

- Planning and meetings for the regional wayfinding signage along the Rolling Prairie Trail
- Community planning assistance to implement the 2045 Bicycle Accommodation Plan
- Rural city sidewalk audits and inventory

Project Description

The 2045 Long-Range Transportation Plan was adopted December 17, 2020. To support activities of the LRTP, the RTA will continue to monitor and update the document, as necessary. The LRTP incorporates all modes of transportation into the planning process. The document serves as a tool for identifying and prioritizing projects into the RTA's Transportation Improvement Program.

FY 2023 Activities and Products

- Maintain and update the 2045 LRTP (as needed)
- Non-motorized planning initiatives to implement the 2045 Regional Bicycle Accommodation Plan
- Rural city sidewalk audits and inventory
- Various reports (as needed)

TASK D-2: STATEWIDE PLANNING INITIATIVES

Objective

To remain actively involved in various statewide planning efforts.

Previous Work

- Member of the Strategic Highway Safety Plan Advisory Committee
- Member of the IIJA Working Group

Project Description

Continued participation in the abovementioned committees.

FY 2023 Activities and Products

- Participation in the Strategic Highway Safety Plan Advisory Committee
- Participation in the IIJA Working Group

TASK D-3: TRANSPORTATION RELATED STUDIES

Objective

To be an active participant in various transportation studies relating to all pertinent modes of transportation as supported by the 2045 LRTP.

Previous Work

- Discussions relating to the U.S. Highway 218 corridor between Cedar Falls and Waverly
- Discussions relating to the Iowa Highway 150 Planning and Environmental Linkages (PEL) Study

Project Description

Further the goals and objectives of the transportation planning process through studies undertaken by the RTA, consultants, or committees on behalf of communities in the region.

FY 2023 Activities and Products

- Attend meetings relating to the U.S. Highway 218 corridor between Cedar Falls and Waverly
- Attend Iowa Highway 150 PEL Study meetings

TASK D-4: COORDINATED PUBLIC TRANSIT & HUMAN SERVICES PLANNING

Objective

To enhance a coordinated, accessible, and efficient transit system to ensure all citizens have access to effective and affordable transportation options.

Previous Work

- Held two Transit Advisory Committee (TAC) meetings
- Monitored the FY 2021-2025 Passenger Transportation Plan (PTP)

Project Description

Concentrate on improving operating efficiencies of current services and eliminate service gaps where and when public transportation is not available.

FY 2023 Activities and Products

- Maintain and update the FY 2021-2025 PTP (as needed)
- Monitoring projects and initiatives identified in the FY 2021-2025 PTP
- Two TAC meetings (at minimum)
- Public outreach and marketing to increase public awareness of services provided by OnBoard Public Transit

Personnel, Budget, and Funding

	Staff Hours	Cost (\$)
Executive Director	12	
Director of Transportation	139	
Data Services Coordinator	240	
Transportation Planner II	200	
Transportation Planner I	200	
New Position	64	
Personnel Total		39,000
Direct Expenses		1,000
Total	855	40,000

Funding Type	Amount (\$)
Regional STBG	10,666
FTA 5305e	10,666
FHWA SPR	10,668
INRCOG Match	8,000
Total	40,000

ELEMENT E: SHORT-RANGE PLANNING

TASK E-1: ADMINISTRATION OF OVERALL RTA ACTIVITIES

Objective

To provide administration of the RTA activities to ensure a continuous, cooperative, and comprehensive transportation planning process in compliance with applicable State and Federal laws and regulations.

Previous Work

- Grant writing assistance to member communities (two transportation-related grants)
- General planning assistance to communities
- Quarterly submittals for planning funding reimbursement
- Filing and reporting routine items required by the Iowa DOT, FTA, and FHWA
- Conducted seven joint Policy Board and Technical Committee meetings
- Conducted one Policy Board meeting, one Technical Committee meeting, and one TAP Committee meeting, and developed meeting materials and minutes
- Published transportation-related materials on the INRCOG website and Facebook page, and in the INRCOG monthly e-newsletter
- Updated meeting agendas, minutes, and materials on the INRCOG website
- Attended trainings and conferences specific to transportation
- Attended four statewide MPO/RPA Quarterly meetings, one via web conference

Project Description

This task includes all administrative work to support activities of the RTA including the following: prepare and submit required documents to the Iowa DOT, FTA, and FHWA; facilitate and provide staff support for RTA meetings; prepare budgets, maintain financial records, and ensure monies are spent appropriately; and coordinate activities with participating agencies and other public and private interests. This task also includes RTA staff participating in conferences, seminars, meetings, and other training opportunities to remain familiar with the latest regulations and techniques related to the transportation planning field. RTA staff planned to update the organization bylaws in FY 2022 but delayed the project until FY 2023 due to department restructuring.

FY 2023 Activities and Products

- Monthly Policy Board and Technical Committee meeting minutes and materials
- Reports and other materials by Federal, State, or other governmental agencies
- Participation in regional and statewide coordination meetings
- Attend trainings and conferences specific to transportation
- General planning assistance to communities
- Grant writing assistance to member communities, as requested
- Transportation-related materials for the INRCOG website and Facebook page, and the INRCOG monthly e-newsletter
- Updated RTA Bylaws (carried forward from FY 2022)

TASK E-2: DATA COLLECTION & SYSTEM INVENTORY

Objective

To collect, analyze, and distribute data necessary to sustain and enhance the overall transportation system.

Previous Work

- Performed data collection and GIS data maintenance, including traffic count and crash data
- Developed and printed maps
- Developed the Interactive Cedar Valley Trail Map
- Collected electronic trail count data in the region
- Collected pavement management, safety, and traffic count data for TIP project evaluation and prioritization

Project Description

This task includes all data collection and analysis to support transportation planning activities.

FY 2023 Activities and Products

- Data collection and GIS data maintenance, including traffic count and crash data
- Print and/or develop maps for member communities or partner agencies
- Interactive mapping, including web-based mapping and story maps
- Pavement management, safety, and traffic count data for TIP project evaluation and prioritization
- Electronic trail counts within the region, data maintenance, and reports on recreational trail use

TASK E-3: PROJECT DEVELOPMENT

Objective

To provide technical assistance and planning relating to the development and implementation of various projects including highway and bridge, land and water trails, pedestrian, and transit.

Previous Work

- General planning assistance to communities, as requested
- Assistance with STBG and Iowa's TAP applications
- SRTS efforts and initiatives at public and parochial schools throughout the region to increase bicycling and walking to school

Project Description

This task includes technical assistance relating to regional and statewide projects. This includes regional and statewide TAP projects, STBG and Iowa's TAP projects, and general land and water trail development. This task also includes continued implementation of a Safe Routes to School (SRTS) program in public and parochial schools throughout INRCOG's region. This is part of the SRTS Partnership with the Iowa Bicycle Coalition and Upper Explorerland. This position is funded through a Statewide TAP grant via the Iowa DOT, the RTA, and the MPO. The SRTS Coordinator will work with area schools on efforts and initiatives to increase bicycling and walking to school.

FY 2023 Activities and Products

- General planning assistance to communities, as requested
- Assistance with STBG and Iowa's TAP applications
- Safe Routes to School Program in public and parochial schools in the region

TASK E-4: EQUIPMENT & SUPPLIES

Objective

To purchase and maintain computer software necessary to support the transportation planning functions of the RTA.

Previous Work

- Maintained ArcGIS licenses for two workstations
- Maintained license for Spatial Analyst and 3-D Analyst

Project Description

This task includes the purchasing and maintenance of computer equipment and software necessary to carry out the transportation planning effort.

FY 2023 Activities and Products

- ArcGIS licenses for two workstations
- Spatial Analyst and 3-D Analyst

Personnel, Budget, and Funding

	Staff Hours	Cost (\$)
Executive Director	12	
Director of Transportation	139	
Data Services Coordinator	118	
Transportation Planner II	146	
Transportation Planner I	200	
New Position	411	
Administrative	104	
Personnel Total		48,445
Direct Expenses		2,072
RPO America-Dues		1,000
Total	1,130	51,517

Funding Type	Amount (\$)
Regional STBG	18,455
FTA 5305e	11,381
FHWA SPR	11,378
INRCOG Match	10,303
Total	51,517

ELEMENT F: ADMINISTRATION

Objective

To provide for the overall management and support of INRCOG’s programs.

Previous Work

Budget, audit, and other financial documents as required for the fiscal year ending June 30, 2022.

Project Description

This task includes overhead and indirect expenses which are not attributable to direct expenses reflected under individual work tasks. Administrative salaries and overhead expenses are charged on an indirect basis according to the agency’s approved cost-allocation plan (attached).

FY 2023 Activities and Products

- Quarterly progress reports and invoices
- Budget, audit, and other financial documents required for the fiscal year ending June 30, 2023.

Personnel, Budget, and Funding

Funding Type	Amount (\$)
Regional STBG	8,746
FTA 5305e	8,746
FHWA SPR	8,746
INRCOG	6,560
Total	32,798

SCHEDULE OF ACTIVITIES

Description	1 st Quarter (July – Sept.)	2 nd Quarter (Oct. – Dec.)	3 rd Quarter (Jan. – Mar.)	4 th Quarter (April – June)	RTA LRTP Goals Supported
(A) Transportation Planning Work Program					
FY 2023 TPWP maintenance and budget monitoring	X	X	X	X	
Reimbursement submittals	X	X	X	X	1, 2, 3, 4
Draft FY 2024 TPWP submitted by April 1, 2023			X		
Final FY 2024 TPWP submitted by June 1, 2023				X	
RTA Self Certification			X		
(B) Transportation Improvement Program					
FY 2022-2025 TIP maintenance	X				
FY 2023-2026 TIP final program adopted	X				1, 2, 3, 4
FY 2023-2026 TIP maintenance	X	X	X	X	
Draft FY 2024-2027 TIP submitted by June 15, 2023		X	X	X	
(C) Public Participation Process					
Public hearing for the FY 2024 TPWP				X	
Public hearing for the FY 2023-2026 TIP	X				
Public hearings for amendments to the FY 2023-2026 TIP (as needed)	X	X	X	X	1, 4
Materials and staffing for TIP public input sessions				X	
Participation in meetings and committees related to transportation planning	X	X	X	X	
Marketing and outreach of RTA planning activities	X	X	X	X	
Maintain and update the PPP (as needed)	X	X	X	X	
(D) Long-Range Transportation Planning					
Maintain and update the 2045 LRTP (as needed)	X	X	X	X	
Non-motorized planning initiatives to implement the 2045 Regional Bicycle Accommodation Plan	X	X	X	X	
Rural city sidewalk audits and inventory	X	X	X	X	
Various reports (as needed)	X	X	X	X	
Participation in the statewide transportation related studies and committees (as needed)	X	X	X	X	
Attend meetings relating to the U.S. Highway 218 corridor between Cedar Falls and Waverly	X		X		1, 2, 3, 4
Attend Iowa Highway 150 PEL Study meetings	X	X	X	X	
Maintain and update the FY 2021-2025 PTP (as needed)	X	X	X	X	
Monitoring projects and initiatives identified in the FY 2021-2025 PTP	X	X	X	X	
Two TAC meetings (at minimum)	X	X	X	X	
Public outreach and marketing to increase public awareness of services provided by OnBoard Public Transit	X	X	X	X	

Description	1 st Quarter (July – Sept.)	2 nd Quarter (Oct. – Dec.)	3 rd Quarter (Jan. – Mar.)	4 th Quarter (April – June)	RTA LRTP Goals Supported
(E) Short-Range Transportation Planning					
Monthly Policy Board and Technical Committee meeting minutes and materials	X	X	X	X	
Reports and other materials by Federal, State, or other governmental agencies	X	X	X	X	
Participation in regional and statewide coordination meetings	X	X	X	X	
Attend trainings and conferences specific to transportation	X	X	X	X	
General planning assistance to communities	X	X	X	X	
Grant writing assistance to member communities, as requested	X	X	X	X	
Transportation-related materials for the INRCOG website and Facebook page, and the INRCOG monthly e-newsletter	X	X	X	X	
Updated RTA Bylaws (carried forward from FY 2022)		X			
Data collection and GIS data maintenance, including traffic count and crash data	X	X	X	X	1, 2, 3, 4
Print and/or develop maps for member communities or partner agencies	X	X	X	X	
Interactive mapping, including web-based mapping and story maps	X	X	X	X	
Pavement management, safety, and traffic count data for TIP project evaluation and prioritization			X	X	
Electronic trail counts within the region, data maintenance, and reports on recreational trail use	X	X	X	X	
General planning assistance to communities, as requested	X	X	X	X	
Assistance with STBG and Iowa's TAP applications			X		
Safe Routes to School Program in public and parochial schools in the region	X	X	X	X	
ArcGIS licenses for two workstations			X		
Spatial Analyst and 3-D Analyst			X		
(F) Administration					
Quarterly progress reports and invoices	X	X	X	X	
Budget, audit, and other financial documents required for the fiscal year ending June 30, 2023	X	X	X	X	

FY 2023 BUDGET & FUNDING SOURCES

FY 2023 Transportation Budget Summary

Work Element	STBG New (\$)	5305e New (\$)	FHWA SPR (\$)	Federal Total (\$)	INRCOG Match (\$)	Total (\$)
(A) TPWP	267	267	266	800	200	1,000
(B) TIP	1,333	1,333	1,334	4,000	1,000	5,000
(C) Public Participation Process	533	533	534	1,600	400	2,000
(D) Long-Range Transportation Planning	10,666	10,666	10,668	32,000	8,000	40,000
(E) Short-Range Transportation Planning	18,455	11,381	11,378	41,214	10,303	51,517
(F) Administration	8,746	8,746	8,746	26,238	6,560	32,798
Total	40,000	32,926	32,926	105,852	26,463	132,315

FHWA Statewide Planning & Research (SPR) program funding is transferred to FTA 5305e funding in a consolidated planning grant application.

FHWA Surface Transportation Block Grant (STBG) program funding is transferred to FTA 5311 program funding in a separate FTA transfer grant application.

FY 2023 INRCOG Personnel Assignments Direct Planning Tasks

Work Element	Total Budget (100%) (\$)	Direct Expense (\$)	Salary and Fringe (\$)
(A)	1,000	50	950
(B)	5,000	250	4,750
(C)	2,000	50	1,950
(D)	40,000	1,000	39,000
(E)	51,517	3,072	48,445
Total	99,517	4,422	95,105

FY 2023 Staff Time (in hours)

Work Element	Executive Director	Director of Transportation	Data Services Coordinator	Transportation Planner II	Transportation Planner I	New Position	Administrative Staff	Total
(A)	2	12						14
(B)		9		70	33			112
(C)				24	28			52
(D)	12	139	240	200	200	64		855
(E)	12	139	118	146	200	411	104	1,130
Total	26	299	358	440	461	475	104	2,163



INRCOG

Iowa Northland Regional
Council of Governments

INRCOG COST ALLOCATION PLAN

Contact: Kevin Blanshan, Executive Director kblanshan@inrcog.org

A. Introduction

Iowa Northland Regional Council of Governments (INRCOG) is a state/local government located in Waterloo, Iowa. The classification and allocation of direct and indirect costs of INRCOG are allowable in accordance with 2 CFR Part 225 (formerly OMB Circular A-87), "Cost Principles for State, Local and Indian Tribal Governments," or 2 CFR Part 230 (formerly OMB Circular A-122), "Cost Principles for Non-profit Organizations."

A. Cost Allocation Methodology

This proposal is for a Cost Allocation Plan (Plan) to cover the period beginning July 1, 2022 and ending June 30, 2023. This proposal is based on INRCOG's actual costs reconcilable to the audited financial statements for its fiscal year ending June 30, 2023. The status of our cost allocation plan is:

- (a) This is our initial request for the approval of our cost allocation plan. None of the federal agencies have ever officially approved of our cost allocation plan.
- (b) We have received an official written approval of our cost allocation plan from the Economic Development Administration.
- (c) We have received written approval of our cost allocation plan from Iowa Department of Transportation multiple times. However, we have been unable to obtain Federal Cognizant approval of our Indirect Cost Proposal.

This proposal addresses all elements of cost incurred by INRCOG and identifies shared costs that require allocation. INRCOG treats all costs as direct costs except general administration and general expenses. Joint costs are prorated individually as direct costs to each category and to each award using a base most appropriate to the particular cost being prorated. Therefore, the direct allocation method has been used in allocating indirect costs.

INRCOG maintains adequate internal controls to ensure that no cost is charged both directly and indirectly to Federal contracts or grants.

INRCOG | PARTNERS FOR PROGRESS

Developing Strong Local Government through Regional Cooperation

C. Direct Costs

Direct costs are those that can be identified specifically with a particular program. These costs may be charged directly to grants, contracts, or to other programs against which costs are finally lodged.

Typical direct costs chargeable to programs include:

- Compensation of employees for the time and effort devoted specifically to the execution of grant programs.
- Costs of materials acquired, consumed, or expended specifically for a particular program.
- Equipment and other approved capital expenditures.
- Other items of expense incurred specifically to carry out the program.
- Services furnished specifically for the program by other agencies.

D. Indirect Costs

Indirect costs are those incurred for common or joint objectives and therefore cannot be readily and specifically identified with a particular project or activity. Indirect costs include salaries, fringe benefits, travel, utilities, rent, postage, office supplies, and printing not identified specifically with a program. Indirect costs are incurred for a common or joint purpose benefiting more than one cost objective and not readily assignable to the cost objectives specifically benefited without effort disproportionate to the results achieved. These costs are distributed equally to all programs against the base of direct time spent on specific programs which produces an equitable result in consideration of relative benefits derived.

Indirect costs include:

- Work relating to the project operation and general supervision of programs.
- Work activities conducted relating to the organizational functioning of the agency.

E. Cost Pool and Base for Distribution

INRCOG uses two pools in the distribution of its indirect costs. The Fringe Benefit Pool consists of employee vacations, sick leave, holidays, health/life insurance, the employer's share of FICA and retirement costs, and reimbursable unemployment expense. The Administrative Pool consists of all other indirect costs as described above. These two pools are based on direct program salary expense and allocated to all agency programs equally as follows:

- Fringe Benefit Allocation = Total Fringe Pool / Total Direct Salaries x Direct Program Salaries
- Administrative Allocation = Total Administrative Pool / Total Direct Salaries x Direct Program Salaries

Predetermined Fixed Rates

A predetermined fixed rate for computing indirect costs applicable to a grant may be negotiated annually in situations where the cost experience and other pertinent facts available are deemed sufficient to enable the contracting parties to reach an informed judgement (1) as to the probable level of indirect costs in the grantee department during the period to be covered by the negotiated rate, and (2) that the amount allowable under the predetermined rate would not exceed actual indirect costs.

Negotiated Lump Sum for Overhead

A negotiated fixed amount in lieu of indirect costs may be appropriate under circumstances where the benefits derived from a grantee department's indirect services cannot be readily determined as in the case of small, self-contained or isolated activity. When this method is used, a determination should be made that the amount negotiated will be approximately the same as the actual indirect cost that may be incurred. Such amounts negotiated in lieu of indirect costs will be treated as an offset to total indirect expenses of the grantee department before allocation to remaining activities. The base on which such remaining expenses are allocated should be appropriately adjusted.

F. Supporting Financial Statements, Summary Schedule, and Unallowable Costs

NOTE: Organization must include a complete copy of the audited financial statements with their proposal submission.

Unallowable and Excludable Costs – The following costs are excluded from the allocation process: capital outlay over the threshold of \$500, awards and gifts, bad debts, and food costs.

G. Description of Accounting System

INRCOG uses an accrual system of accounting. Under the accrual basis of accounting, revenues are recognized when earned and expenditures are recorded when the related liability is incurred.

INRCOG uses Quick Books per our accountant's recommendation.

H. Indirect Salaries

The number of hours of work performed by INRCOG employees for the general supervision of programs and organization functioning of the agency (Administrative Pool) varies each month. Employees record the amount of time for work performed for the administration of the agency and also for work performed for a specific program. Administrative time is then distributed equally each month to all programs against the base of direct time spent on specific programs during that month (refer to Section E, Cost Pool and Base for Distribution).

I. Indirect Fringe Benefits

Refer to "Section E, Cost Pool and Base for Distribution" and "Section H, Indirect Salaries" for description of allocation of fringe benefits.

J. Organization Chart

See Schedule B

K. Cost Allocation Plan Certification

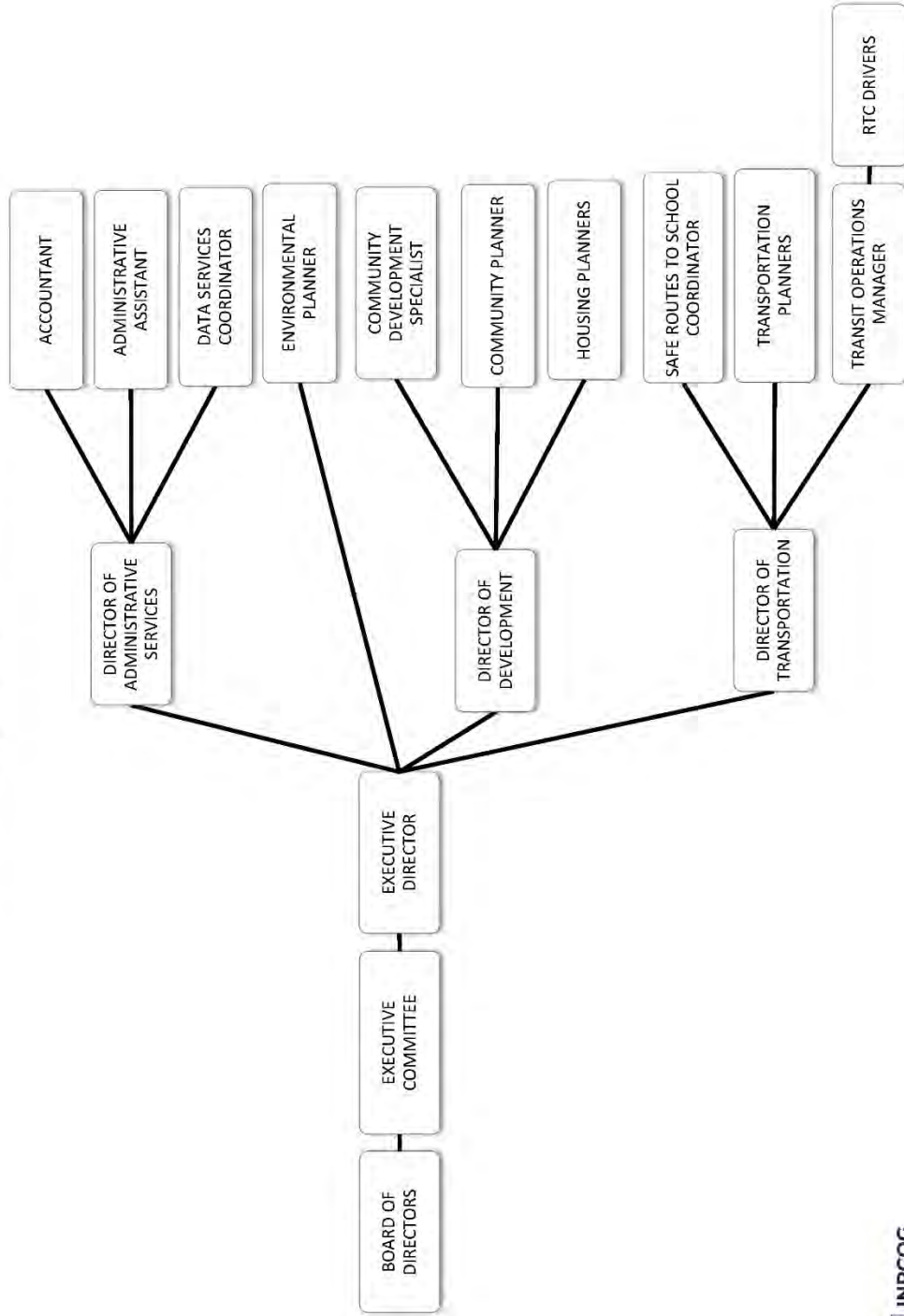
See attached

Schedule A – Elements of Cost

Elements of Cost	Allocation Methodology	Item Description
Salaries & fringe benefits	Direct/Indirect	Direct when specific to program, indirect for general admin
Travel	Direct/Indirect	Direct when specific to program, indirect for general training and admin.
Meals	Direct	Program specific for allowable meals
Seminar	Direct/Indirect Cost	Direct when specific to program, indirect for general admin
Telephone/Internet	Direct/Indirect Cost	Direct when specific to program, indirect for general admin
Contracted Services	Direct/Indirect Cost	Direct when specific to program, indirect for general admin
Office Supplies	Direct/Indirect Cost	Direct when specific to program, indirect for general admin
Program Supplies	Direct	Direct when specific to program
Miscellaneous	Direct/Indirect Cost	Direct when specific to program, indirect for general admin
Postage	Direct/Indirect	Direct when specific to program, indirect for general admin
Bad Debt	Direct/Unallowable	Uncollectable notes receivable/accounts receivable
Copy	Direct/Indirect	Direct when specific to program, indirect for general admin
Advertising & Notices	Direct/Indirect	Direct when specific to program, indirect for general admin
Professional Memberships	Direct/Indirect	Direct when specific to program, indirect for general admin
Recording Fees	Direct	Program specific for recording liens on homeowner's notes
Administrative Overhead	Indirect	Costs that are not specific to direct programs, included as indirect allocation of all other elements of costs
Administrative expense – EDA RLF	Direct	Costs that are specific to operating the EDA RLF Fund
Provision for forgivable loan	Direct	Program specific for forgivable loans
Administrative expense – INRHC	Direct	Costs that are specific to operating the INRHC RLF Fund
Relocation	Direct	Expenses specific to the relocation of homeowner's
Insurance	Indirect	Bonding insurance, building insurance, etc.
Equipment maintenance/expense	Direct/Indirect Cost	Rental and maintenance on equipment used in administrative office or specific to programs.
Legal and Accounting	Direct/Indirect Cost	Direct when specific to program, indirect for general admin

Schedule B – INRCOG Organization Chart (as of August 2021)

Iowa Northland Regional Council of Governments
Organizational Chart





Certificate of Indirect Cost Proposal and Indirect Costs

This is to certify that I have reviewed the indirect cost proposal submitted herewith and to the best of my knowledge and belief:

- 1) All costs included in this proposal of May 19, 2022 to establish a:
 - Cost Allocation Plan
 - Indirect Cost Rate
 for July 1, 2022 to June 30, 2023 are allowable in accordance with the requirements of the Federal awards to which they apply and with Subpart E – Cost Principles of Part 200 as they apply to my:
 - Governmental Organization
 - Non-profit Organization
- 2) This proposal does not include any costs which are unallowable under Subpart E – Cost Principles of Part 200 such as (without limitation): public relation costs, contributions and donations, entertainment costs, fines and penalties, lobbying costs, and defense of fraud proceedings; and
- 3) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the Federal awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have been accounted for consistently.

Subject to the provisions of the Program Fraud Civil Remedies Act of 1986 (31 USC 3801 et seq.), and the Department of Labor’s implementing regulations (29 CFR Part 22), the False Claims Act (18 USC 287 and 31 USC 3729); and the False Statement Act (18 USC 1001), I declare to the best of my knowledge that the foregoing is true and correct.

Signature

Kevin Blanshan
Printed Name

Executive Director
Title

Iowa Northland Regional Council of Governments
Name of Organization

May 19, 2022
Date Signed

Signed by the official having the authority to negotiate indirect cost rates for the organization or by a higher-level official

APPENDIX A – RESOLUTION

RESOLUTION OF THE IOWA NORTHLAND REGIONAL TRANSPORTATION AUTHORITY POLICY BOARD

WHEREAS, the Iowa Northland Regional Transportation Authority (RTA) has been designated as the Regional Planning Affiliation for Black Hawk, Bremer, Buchanan, Butler, Chickasaw, and Grundy Counties; and

WHEREAS, the Policy Board, in cooperation with the state, is conducting a continuing, cooperative, and comprehensive (3-C) transportation planning process pursuant to 23 CFR 450 (b); and

WHEREAS, the Policy Board has reviewed the Transportation Planning Work Program (TPWP) for Fiscal Year 2023; and

WHEREAS, the Iowa DOT requires that the RTA commit a twenty (20) percent local share to the TPWP budget for FY 2023.

NOW, THEREFORE BE IT RESOLVED that the Iowa Northland Regional Transportation Authority Policy Board hereby approves the FY 2023 Transportation Planning Work Program for submittal to the Iowa DOT, and commits the required twenty (20) percent local share to the TPWP budget for FY 2023.

Passed and adopted this 19th day of May, 2022.

Greg Barnett, Chair

ATTEST:

Kevin Blanshan, INRCOG Executive Director

APPENDIX B – RTA SELF-CERTIFICATION

RTA Self-Certification of Procurement and Consultant Selection Procedures

This is to certify that I have reviewed the Iowa DOT Purchasing Rules (Iowa Administrative Code 761, Chapter 20) and will ensure procurements or the selection of consultant firms for projects to be reimbursed with federal transportation planning funds will follow the policies and procedures outlined in the above-referenced purchasing rules.

Further, I certify that the following requirements will be adhered to for procurements and consultant services to be reimbursed with federal transportation planning funds:

- Capital expenditures, including the purchase of equipment, will be a separate line item in an approved Transportation Planning Work Program (TPWP) if the anticipated total cost exceeds \$5,000.
- An approved TPWP will specify that a project will involve consultant services prior to initiating the Our agency will document the procedures utilized for the procurement or consultant selection, and will retain this documentation on file for a minimum of three (3) years.
- When reimbursement is requested for capital expenditures or consultant services, we will provide our District Planner and the Systems Planning Bureau, through email or hard copy, invoices documenting the expenditure(s) at the time the associated reimbursement request is submitted.

I declare to the best of my knowledge and ability that we will adhere to the above requirements.

Signature

Kevin Blanshan

Printed Name

Executive Director

Title

Iowa Northland Regional Council of Governments

Name of Organization

May 19, 2022

Date Signed

Signed by the official having the authority to initiate procurements or consultant selection for the organization or by a higher-level official

APPENDIX C – SRTS PROPOSED SCOPE OF WORK

Project Deliverables	
Project Number: TAP-R-000T(136)--8T-00	
Project Title: Safe Routes Partnership	
Project Objective: To assist communities with increasing the number of students choosing active transportation as their first choice for transportation and to increase overall health and safety.	
Date Submitted: 7-22-21	
TASK(S) AND DELIVERABLE(S)	Narrative (includes short description of Task/Deliverable along with budget items charged, purchased, etc. These items should be easily assessed as accomplished or not accomplished for the project):
TASK 1 BUILD AND STRENGTHEN NEW AND EXISTING SAFE ROUTES RELATIONSHIPS WITHIN SCHOOL SYSTEM AND COMMUNITY	The goal of Task 1 is to maintain relationships the partnerships created while inviting interested stakeholders to support Safe Routes. Meetings with stakeholders will be held to ensure the Safe Routes message is shared. Students, families and Safe Routes partners will be educated on the benefits of Safe Routes to help promote throughout their respective communities. <i>Staff time, travel, booklets and training course materials will be budgeted.</i> NOTE: Due to the pandemic, Safe Routes tasks and deliverables may be impacted.
Deliverable 1: Collaborate with partners	Safe Routes Coordinators will interact with school, community, state and national partners to support on-going Safe Routes work. Safe Routes coordinators will attend in-person and virtual meetings to engage partners in Safe Routes programming and to expand Safe Routes involvement in Safe Routes related partner efforts.
Deliverable 2: Strengthen Safe Routes message	Coordinators will share Safe Routes messages, information and resources with schools, communities and partners using email, phone, flyers, social media, etc. IBC will utilize training course materials monthly.
Deliverable 3: Interact with students and families about Safe Routes benefits	Safe Routes Coordinators will communicate with families about Safe Routes activities, information and resources via social media, in newsletters, over the phone, via email, and so forth. IBC will share Walk/Bike Booklets with students across the state. IBC will utilize Learn Dash Learning Management System for student education.
TASK 2 SUPPORT NEW AND EXISTING SAFE ROUTES EFFORTS	The goal of Task 2 is to maintain programming success with proper equipment to perform duties of the program. Additionally, this task encourages program development in new communities, schools and with new families. By inviting new stakeholders and families into existing communities, Safe Routes coordinators can work towards sustainability of programs. Lastly, through data collection, Safe Routes coordinators can track and evaluate programs and participation. Data can be used to shape the future of active transportation for each community. <i>Staff time, travel, equipment and supplies will be budgeted.</i> NOTE: Due to the pandemic, Safe Routes tasks and deliverables may be impacted.
Deliverable 1: Acquire equipment and supplies to conduct programs	Equipment and other consumable supplies listed within the budget will be purchased to support Safe Routes activities and events.
Deliverable 2: Conduct programs	Safe Routes Coordinators will organize and/or support Walking School Bus/Biking School Bus routes, Bike Rodeos, and community walks/bike rides as safety and comfort levels of participants allow. INRCOG will distribute Walk/Bike Booklets during SRTS Bike Rodeos. Safe Routes programs will reach students through participation in Walking School Bus/Biking School Bus programs, Bike Rodeos, community walks, Mileage clubs, and walking and biking related events.
Deliverable 3: Expand Safe Routes efforts into new communities, schools and families	Expand Safe Routes efforts into new schools, neighborhoods and communities through promotion using social media, newsletter articles, presentations, email, phone, and/or in person or virtual meetings. UERPC will continue its Iowa Cancer Consortium Membership (Physical Activity/Cancer Prevention) to collect new encouragement ideas for active school travel and promoting regular physical activity among youth.
Deliverable 4: Collect student data to support future Safe Routes efforts	Pertinent data will be collected from participating schools regarding how students get to and from school. This data will be used to further promote and build Safe Routes efforts.
TASK 3 SUPPORT ACTIVE TRANSPORTATION RELATED TO SAFE ROUTES	The goal of Task 3 is to ensure the active transportation message is evolving with current trends and applies to the needs of each Safe Routes community. By attending trainings, webinars, and other opportunities, coordinators can continue to learn best practices and identify new supporters of the program. <i>Staff time and travel. Contest materials, prizes, and postage will be budgeted.</i> NOTE: Due to the pandemic, Safe Routes tasks and deliverables may be impacted.
Deliverable 1: Safe Routes messaging and materials	As needed, Safe Routes Coordinators will create new flyers, informational sheets, newsletter articles and other messages to be distributed to relevant audiences to promote and build support for Safe Routes efforts. Social media will be used to promote current events, activity updates and share information.

Initials _____ Date Approved _____

Project Deliverables	
Project Number: TAP-R-000T(136)--8T-00	
Project Title:	Safe Routes Partnership
Project Objective:	To assist communities with increasing the number of students choosing active transportation as their first choice for transportation and to increase overall health and safety.
Date Submitted: 7-22-21	
TASK(S) AND DELIVERABLE(S)	Narrative (includes short description of Task/Deliverable along with budget items charged, purchased, etc. These items should be easily assessed as accomplished or not accomplished for the project):
Deliverable 2: Promote and support community efforts at all levels	Coordinators will assist communities in the research, development and application of projects related to Safe Routes, which include but are not limited to local, regional and national grant opportunities to fund both infrastructure and non-infrastructure projects. IBC will administer a statewide punch card contest to encourage walking and biking to school. IBC will send additional educational materials to schools and/or students upon request. IBC will purchase and ship postcards and/or educational materials as needed.
Deliverable 3: Attend trainings to support active transportation	Safe Routes Coordinators will lead and/or attend stakeholder meetings or trainings as needed (both locally and statewide) to recruit and maintain key stakeholders and supporters of Safe Routes. Trainings may include, but are not limited to, seminars, webinars, council meetings, community meetings and public health meetings regarding relevant active transportation topics.
TASK 4 CONTINUE TO LEARN AND EXPAND ACTIVE TRANSPORTATION SKILLS	The goal of Task 4 is to grow active transportation knowledge and skills by identifying best practices through networking at all levels. Conferences will encompass both Safe Routes and active transportation and health promotion related to infrastructure and non-infrastructure Safe Routes efforts. <i>Staff time, travel, conference registration, airfare, mileage/car rental/gas, hotel, meals and parking will be budgeted. NOTE: Due to the pandemic, Safe Routes tasks and deliverables may be impacted.</i>
Deliverable 1: Attend conferences to grow active transportation	Coordinators will attend (whether virtually or in-person, depending on situation at time of conference) the following conferences to gain new insight with the intention to utilize new best practices or new communication tools at a local level. SRTS Coordinators will learn infrastructure and non-infrastructure best practices beyond Bike Rodeos and Walking School Buses to improve active transportation efforts on a broader level for schools and communities. Potential conference list: Iowa Association for Health, Physical Education, Recreation and Dance (INRCOG); Iowa Trails Summit (UERPC, IBC); SRTS National Conference (INRCOG, UERPC 1 of 3 options, IBC 1 of 3 options); National Walking Summit (INRCOG 1 of 2 options, UERPC 1 of 3 options, IBC 1 of 3 options); Iowa Cancer Summit (UERPC); Iowa Bike Summit (INRCOG, UERPC, IBC); Iowa SRTS Conference (INRCOG, UERPC, IBC); Public Health Conference of Iowa (UERPC, IBC); Healthiest State Initiative Conference (IBC); Walk Bike Places (INRCOG 1 of 2 options, UERPC 1 of 3 options, IBC 1 of 3 options). A detailed description of each conference is attached.

Initials _____ Date Approved _____

DOCUMENT REVISION SUMMARY

Date of Revision	Revised Section	Summary of Changes